

PHA Plans

5 Year Plan for Fiscal Years 2002-2006

Annual Plan for Fiscal Year 2002

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN ACCORDANCE WITH
INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of the City of Rocky Mount

PHA Number: NC019

PHA Fiscal Year Beginning: (mm/yyyy) 01/2002

Public Access to Information

**Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)**

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

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- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2002 - 2006

[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☒ The PHA's mission is: (state mission here)

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.
EXECUTIVE SUMMARY

In accordance with the Quality Housing and Work Responsibility Act of 1998 (QHWRA), Rocky Mount Housing Authority (RMHA) has developed a Five Year Plan. The Five Year Plan covers specific goals and objectives to be done by various departments at RMHA. The Five Year was developed with input from staff, commissioners, and residents. A brief summary of the projections and conclusions of the Five Year Plan are as follows:

1. To Maintain Maximum Operational Effectiveness.
2. To Increase the Level of Awareness of Policy Makers of the Need and Benefits of Affordable Housing; and
3. to Provide New Housing Opportunities and Preserve the Existing Housing Stock with Fiscal Responsibility
4. To promote self-sufficiency among Residents through education and employment training.

- ☐ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☐ Apply for additional rental vouchers:
 - ☐ Reduce public housing vacancies:
 - ☐ Leverage private or other public funds to create additional housing opportunities:
 - ☐ Acquire or build units or developments
 - ☐ Other (list below)
- ☐ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☐ Improve public housing management: (PHAS score)
 - ☐ Improve voucher management: (SEMAP score)
 - ☐ Increase customer satisfaction:
 - ☐ Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
 - ☐ Renovate or modernize public housing units:
 - ☐ Demolish or dispose of obsolete public housing:
 - ☐ Provide replacement public housing:
 - ☐ Provide replacement vouchers:
 - ☐ Other: (list below)
- ☐ PHA Goal: Increase assisted housing choices
Objectives:
- ☐ Provide voucher mobility counseling:
 - ☐ Conduct outreach efforts to potential voucher landlords
 - ☐ Increase voucher payment standards
 - ☐ Implement voucher homeownership program:
 - ☐ Implement public housing or other homeownership programs:
 - ☐ Implement public housing site-based waiting lists:
 - ☐ Convert public housing to vouchers:
 - ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☐ PHA Goal: Provide an improved living environment
Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☐ Implement public housing security improvements:
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- ☐ PHA Goal: Promote self-sufficiency and asset development of assisted households
Objectives:
 - ☐ Increase the number and percentage of employed persons in assisted families:
 - ☐ Provide or attract supportive services to improve assistance recipients' employability:
 - ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
 - ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☐ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
Objectives:
 - ☐ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☐ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☐ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

Attachment V (nc019v01)

Attachment W (nc019w01)

PHA Plan Agency Identification

PHA Name: Housing Authority of the City of Rocky Mount

PHA Number: NC019

PHA Fiscal Year Beginning: (mm/yyyy) 01/2002

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- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

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- ☐ PHA development management offices
- ☐ Other (list below)

Annual PHA Plan PHA Fiscal Year 2002

[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
- ☐ **Small Agency (<250 Public Housing Units)**
- ☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

Executive Summary

Rocky Mount Housing Authority's (RMHA) Annual Plan is prepared in accordance with HUD's Preliminary/Draft Guidance on preparation and submission of the PHA Plans for FY 2002 as published July 27, 1999. Staff has made every effort to ensure participation in the Plans from Residents, staff, Board of Commissioners, local government and general government.

The major components of the Annual Plan are considered to be the statements relating to Financial Resources, Agency Policies, Rent Determines Policy, Operations and Management and Capital Improvements. RMHA has over the past eight (9) years done well in overall management of its PHAS requirements

The FY 2002 Annual Plan was prepared with the vision of maintaining the highest level of service possible, with limited resources, for the clients served. Primary focus of RMHA during the FY 2002 will be demolition and new construction of units due to the recent flood. RMHA has acquired two new sites and plans are to build 14 new one-bedroom apartments on the Marigold site and estimated 48 single family homes at the Springfield road site. A Section 8 Home Ownership Program will be established in order to sell the single family homes on Springfield Road. Also, RMHA will explore acquisition of a multi-family 236 project and establishing project based Section 8 units. Overall, the FY 2002 Annual Plan was developed to realistically satisfy HUD's regulations as set out in the Housing Act of 1998.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments: Deconcentration (nc019a01)

- A Admissions Policy for
- B FY 2002 Capital Fund Program Annual Statement (nc019b01)
Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- C PHA Management Organizational Chart (nc019c01)
- D FY 2002 Capital Fund Program 5 Year Action Plan (nc019d01) (nc019d01a)

E Public Housing Drug Elimination Program (PHDEP) Plan (nc019e01) (nc019e01a thru nc019e01l)

F a. Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text) (nc019f01) (nc019f02- f05) (nc019f05a)

b. Membership of Resident of Advisory Board : (nc019g01)

c. Resident Member of the PHA Governing Board: **Minnie Knight** Other (List below, providing each attachment name)

Statement of Progress ATTACHMENT G (nc019h01)

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
<input checked="" type="checkbox"/>	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
<input checked="" type="checkbox"/>	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
<input checked="" type="checkbox"/>	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
<input checked="" type="checkbox"/>	Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
<input checked="" type="checkbox"/>	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources;
<input checked="" type="checkbox"/>	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
<input checked="" type="checkbox"/>	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance</i> ; <i>Notice</i> and any further HUD guidance) and	Annual Plan: Eligibility, Selection, and Admissions Policies See Attachment Q nc019s01

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	2. Documentation of the required deconcentration and income mixing analysis	
<input checked="" type="checkbox"/>	Public housing rent determination policies, including the methodology for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
<input checked="" type="checkbox"/>	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
<input checked="" type="checkbox"/>	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
<input checked="" type="checkbox"/>	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
N/A	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs
<input checked="" type="checkbox"/>	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
<input checked="" type="checkbox"/>	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
TO BE DONE	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
TO BE DONE	Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
N/A	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
<input checked="" type="checkbox"/>	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan)	Annual Plan: Safety and Crime Prevention
<input checked="" type="checkbox"/>	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
N/A	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
<input checked="" type="checkbox"/>	Other supporting documents (optional) (list individually; use as many lines as necessary) Annual Resident Satisfaction Survey Follow-up Plan will be available for review, if applicable.	(specify as needed) Annual Resident Satisfaction Survey

1. Statement of Housing Needs ATTACHMENT H (nc019i01)

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income <= 30% of AMI	2527	5	5	5	5	5	5
Income >30% but <=50% of AMI	1671	5	5	5	5	5	5
Income >50% but	2230	5	5	5	5	5	5

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
<80% of AMI							
Elderly	2073						
Families with Disabilities							
Race/Ethnicity /w	39%	5	5	5	5	5	5
Race/Ethnicity /b	65%	5	5	5	5	5	5
Race/Ethnicity/his	55%	5	5	5	5	5	5
Race/Ethnicity/nat	72%	5	5	5	5	5	5

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 1997-2002
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset **ATTACHMENT I (nc019j01) (nc019j01a – h)**
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☐ Other sources: (list and indicate year of information)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA’s waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

Housing Needs of Families on the Waiting List

Housing Needs of Families on the Waiting List			
Waiting list type: (select one)			
<input type="checkbox"/>	Section 8 tenant-based assistance		
<input type="checkbox"/>	Public Housing		
<input checked="" type="checkbox"/>	Combined Section 8 and Public Housing		
<input type="checkbox"/>	Public Housing Site-Based or sub-jurisdictional waiting list (optional)		
If used, identify which development/subjurisdiction:			
	# of families	% of total families	Annual Turnover
Waiting list total	222		185
Extremely low income <=30% AMI	158	71%	
Very low income (>30% but <=50% AMI)	52	23.5%	
Low income (>50% but <80% AMI)	12	5.5%	
Families with children	108	48.5%	
Elderly			
Families with Disabilities			
Race/ethnicity white		10%	
Race/ethnicity black		90% & Other	
Race/ethnicity			
Race/ethnicity			
Characteristics by Bedroom Size (Public Housing Only)			
1BR	98	44%	
2 BR	80	36%	
3 BR	32	14%	
4 BR	12	5.5%	
5 BR			
5+ BR			

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? ☒ No ☐ Yes

If yes:

How long has it been closed (# of months)?

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☐ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☐ No ☒ Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

RMHA will continue efforts to work with South Eastern North Carolina Community Development Corporation (CDC) to establish more affordable housing.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☒ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☒ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☒ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☒ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☒ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☒ Limited availability of sites for assisted housing
- ☐ Extent to which particular housing needs are met by other organizations in the community

- ☐ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☐ Influence of the housing market on PHA programs
- ☐ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Rocky Mount Housing Authority (RMHA) current funding resources are listed below. It is very difficult to project future use of funds because of the uncertainty of HUD's funding from year to year. However, RMHA will continue to ensure its maximum use in addressing our needs.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2002 grants)		
a) Public Housing Operating Fund	\$1,566,492	PH Operations
b) Public Housing Capital Fund -2001	\$1,335,841	Capital Improvements
c) HOPE VI Revitalization		
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$1,268,761 Includes 39 new Vouchers-fair-share with Admon. fees	Supporting Tenant Based Assistance
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	\$158,691	PHA Safety/Security & Supportive Services
g) Resident Opportunity and Self-Sufficiency Grants		
h) Community Development Block Grant		

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
i) HOME		
Other Federal Grants (list below)		
2. Prior Year Federal Grants (unobligated funds only) (list below)		
Comp Grant 1999-2000		Capital Improvements
Disaster Emergency Grant	\$13,500,819	Demolition, Relocation, New Construction and Renovation
Drug Elimination Grant	\$150,000	PH Safety/Security & Supportive Services
3. Public Housing Dwelling Rental Income		
Dwelling Rent	\$1,300,000	Supporting operations
Excess Utilities	\$101,000	Supporting operations
4. Other income (list below)		
Interest on Investments	\$80,000	Supporting operations
Miscellaneous Income	\$72,000	Supporting operations
4. Non-federal sources (list below)		
Total resources	\$19,533,604	

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)] Rocky Mount Housing Authority's (RMHA) policies governing Eligibility, Selection, and Admissions are located in the Admission and Continued Occupancy Policy which is on display for public view.

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☒ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: (state time)

☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☐ Housekeeping
- ☒ Other (describe) **Credit Reports**

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
- ☐ PHA development site management office
- ☒ Other (list below) **West End Terrace Community Center and Weeks-Armstrong Community Center**

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

- a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One
☐ Two
☐ Three or More

- b. ☐ Yes ☐ No: Is this policy consistent across all waiting list types?

- c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

- a. Income targeting:

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

- b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☐ Emergencies
☒ Overhoused
☒ Underhoused
☒ Medical justification
☐ Administrative reasons determined by the PHA (e.g., to permit modernization work)
☐ Resident choice: (state circumstances below)
☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☒ Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans’ families
- ☒ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☒ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a “1” in the space that represents your first priority, a “2” in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use “1” more than once, “2” more than once, etc.

2 Date and Time

Former Federal preferences:

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence

- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- 2 Working families and those unable to work because of age or disability
- 2 Veterans and veterans' families
- 1 Residents who live and/or work in the jurisdiction
- 2 Those enrolled currently in educational, training, or upward mobility programs
- 2 Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- 2 Those previously enrolled in educational, training, or upward mobility programs
- 1 Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☐ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☒ Yes ☐ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☒ Yes ☐ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

(Hard Copy of Admissions policies for Public Review)

ATTACHMENT (nc019a01)

c. If the answer to b was yes, what changes were adopted? (select all that apply)

☐ Adoption of site-based waiting lists

If selected, list targeted developments below:

☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments

If selected, list targeted developments below:

☐ Employing new admission preferences at targeted developments

If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

d. ☒ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

☐ Additional affirmative marketing

☒ Actions to improve the marketability of certain developments

☐ Adoption or adjustment of ceiling rents for certain developments

☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing

☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

☐ Not applicable: results of analysis did not indicate a need for such efforts

- ☒ List (any applicable) developments below: **NC 19-2, 19-4, 19-5**
Weeks Armstrong Homes

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☐ Not applicable: results of analysis did not indicate a need for such efforts
- ☒ List (any applicable) developments below: **NC 19-3, 19-5, 19-9**
West End Terrace

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☐ Other (list below)

b. ☐ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☐ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☒ Criminal or drug-related activity
- ☒ Other (describe below)

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

- ☒ None
☐ Federal public housing
☐ Federal moderate rehabilitation
☐ Federal project-based certificate program
☐ Other federal or local program (list below)

- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

- ☒ PHA main administrative office
☒ Other (list below) **Open or Special Applications taken at the Hyman Battle Center**

(3) Search Time

- a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below: **Extenuating circumstances such as family emergencies, hospitalization, difficulty in locating a unit and have requested support services from the Section 8 staff throughout the initial 60 day time and family has turned in lease approval prior to the expiration of 60 days, but the unit has not passed the HQS.**

(4) Admissions Preferences

- a. Income targeting

- ☒ Yes ☐ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☒ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☒ Victims of domestic violence
- ☒ Substandard housing
- ☒ Homelessness
- ☒ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☒ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☒ Households that contribute to meeting income goals (broad range of incomes)
- ☒ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

2 Date and Time

Former Federal preferences

- 1 Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- 1 Victims of domestic violence
- 1 Substandard housing
- 1 Homelessness
- 1 High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability

- ☐ Veterans and veterans' families
- ☒ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☒ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☒ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☒ Date and time of application
- ☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- ☒ This preference has previously been reviewed and approved by HUD
- ☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- ☒ The Section 8 Administrative Plan **ATTACHMENT R (nc019t01)**
- ☒ Briefing sessions and written materials
- ☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

- ☒ Through published notices

☐ Other (list below)

4. PHA Rent Determination Policies (Component #4)

[24 CFR Part 903.7 9 (d)] The main three (3) rent determination methods used by Rocky Mount Housing Authority (RMHA) are:

- (1) Minimum Rent, which is \$25.00
- (2) Resident's choice in rent - (a) Income - based Rents which are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent of minimum rent (less HUD mandatory deductions and exclusions) or (b) Flat Rents as approved by Rocky Mount Housing Authority Board of Commissioners:

1 Bedroom	\$300.00
2 Bedroom	\$330.00
3 Bedroom	\$363.00
4 Bedroom	\$399.00
5 Bedroom	\$439.00

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

- ☒ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

- ☐ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
☒ \$1-\$25
☐ \$26-\$50

2. ☒ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes x ☐ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

- ☒ For the earned income of a previously unemployed household member
☐ For increases in earned income
☐ Fixed amount (other than general rent-setting policy)
If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)
If yes, state percentage/s and circumstances below:

- ☐ For household heads
☐ For other family members
☐ For transportation expenses
☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- ☐ Yes for all developments
☐ Yes but only for some developments
☒ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- ☐ For all developments
☐ For all general occupancy developments (not elderly or disabled or elderly only)
☐ For specified general occupancy developments
☐ For certain parts of developments; e.g., the high-rise portion
☐ For certain size units; e.g., larger bedroom sizes
☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
☐ Fair market rents (FMR)
☐ 95th percentile rents
☐ 75 percent of operating costs
☐ 100 percent of operating costs for general occupancy (family) developments
☐ Operating costs plus debt service
☐ The "rental value" of the unit
☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
☐ At family option
☒ Any time the family experiences an income increase
☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month

disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☐ The section 8 rent reasonableness study of comparable housing
- ☐ Survey of rents listed in local newspaper
- ☐ Survey of similar unassisted units in the neighborhood
- ☒ Other (list/describe below) **Section 8 Reasonableness Study using information from local Realtors**

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

ATTACHMENT S (nc019u01)

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☒ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☐ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☐ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☐ \$0
- ☒ \$1-\$25
- ☐ \$26-\$50

b. ☐ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below) **Hardship Notice on File**

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

See **ATTACHMENT J (nc019k01)**

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☒ An organization chart showing the PHA's management structure and organization is attached. **ATTACHMENT C (nc019c01)**

☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing	766	39%
Section 8 Vouchers	226	25%
Section 8 Certificates		
Section 8 Mod Rehab		
Special Purpose Section 8 Certificates/Vouchers (list individually)	36	18%
Public Housing Drug Elimination Program (PHDEP)	768 units	20%
Other Federal Programs(list individually)	40 units	15%

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

(2) Section 8 Management: (list below)

1. Statement of Policies & Procedures

2. FSS Action Plan

3. Section 8 Owners Handbook

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

COMPONENT #6 - Statement of RMHA's Grievance Procedures

This statement describes Rocky Mount Housing Authority's (RMHA's) Grievance and Informal Hearing and Review procedures which are made available to residents and applicants. (RMHA's Grievance Procedures are on display for public review)

As noted within the Grievance Procedures, expedited grievance procedures.

- **Criminal activity that threatens the health, safety or right to peaceful enjoyment of public housing residents or RMHA staff.**
- **Drug - Related criminal activity on or off the premises.**

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☐ PHA main administrative office
☐ PHA development management offices
☒ Other (list below) **Housing Managers**

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☐ PHA main administrative office
☒ Other (list below) **Section 8**

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at **Attachment B (nc019b01)**

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at **Attachment D (nc019d01)**

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☐ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)
 - ☐ Revitalization Plan under development
 - ☐ Revitalization Plan submitted, pending approval
 - ☐ Revitalization Plan approved
 - ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☐ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?

If yes, list development name/s below:

- ☐ Yes ☐ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?

If yes, list developments or activities below:

- ☐ Yes ☐ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?

If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to

component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

☒ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

Demolition/Disposition Activity Description	
1a. Development name:	
1b. Development (project) number:	NC019PO19002 and NC019P019004
2. Activity type:	Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one)	Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission:	(07/24/00)
5. Number of units affected:	134
6. Coverage of action (select one)	<input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Timeline for activity:	a. Actual or projected start date of activity: 01-15-00 b. Projected end date of activity: 01-01-2003

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will

apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

Designation of Public Housing Activity Description
1a. Development name:
1b. Development (project) number:
2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/>
3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan?
6. Number of units affected: 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

ATTACHMENT T (nc019v01a)

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☒ Yes ☐ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☒ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: West End Terrace, Weeks Armstrong Homes, McIntyre Lane, Scattered Sites
1b. Development (project) number: NC019P019001, NC019P019002, NC019P019003, NC019P019004, NC019P019005, NC019P019009,
2. What is the status of the required assessment? <input checked="" type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent

- ☐ Requirements no longer applicable: site now has less than 300 units
☐ Other: (describe below)

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

ATTACHMENT K (nc019l01) RMHA plan to establish a Section 8 Homeownership Program

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

**Public Housing Homeownership Activity Description
(Complete one for each development affected)**

1a. Development name:

1b. Development (project) number:
2. Federal Program authority: <input type="checkbox"/> HOPE I <input type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY)
5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. ☒ Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- ☒ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☒ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

- ☒ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

ATTACHMENT L (nc019m01)

A. PHA Coordination with the Welfare (TANF) Agency See Attached ATTACHMENT M (nc019n01- n02)

1. Cooperative agreements:

- ☐ Yes ☒ No: Has the PHA has entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☒ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
☐ Jointly administer programs
☐ Partner to administer a HUD Welfare-to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas?

(select all that apply)

- ☒ Public housing rent determination policies

- ☒ Public housing admissions policies
☒ Section 8 admissions policies
☐ Preference in admission to section 8 for certain public housing families
☒ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
☐ Preference/eligibility for public housing homeownership option participation
☐ Preference/eligibility for section 8 homeownership option participation
☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☒ Yes ☐ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office / PHA main office / other provider name)	Eligibility (public housing or section 8 participants or both)
1. South Eastern North Carolina Community Development Corp.	Unlimited	Registration (Open)	PHA Main Office	Both PHA and Section 8
2. Jazzy’s Restaurant (Jobs and Job Training)	Limited Number	Application Waiting List Screening	Restaurant Site	Both PHA and Section 8
3. Resident Management Council, Inc. (RMC)	Limited Number	Screening	PHA Main Office	Both PHA and Section 8

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: DD/MM/YY)
Public Housing		
Section 8	27 (Will plan to continue utilizing 35 but 27 is required)	27

- b. ☒ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☒ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

ATTACHMENT N (nc019o01) (nc019o01a – nc019o01c)

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☒ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☒ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☒ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below) **Note: Weeks-Armstrong Homes West End Terrace, Edgecombe County and Nash County.**

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☒ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at-risk youth, adults, or seniors
- ☒ Volunteer Resident Patrol/Block Watchers Program

☐ Other (describe below)

2. Which developments are most affected? (list below)

Weeks-Armstrong Edgemcombe County West End Terrace Nash County

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☒ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected? (list below)

Weeks-Armstrong Edgemcombe County West End Terrace Nash County

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2000 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☒ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☒ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2000 in this PHA Plan?
- ☒ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: A:\NC019E01)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

ATTACHMENT O (nc019p01)

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations. **ATTACHMENT U (nc019r01)**

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain?_____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. ☒ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
☐ Not applicable
☐ Private management
☐ Development-based accounting
☒ Comprehensive stock assessment
☐ Other: (list below)
3. ☐ Yes ☐ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

A. Resident Advisory Board Recommendations -

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- ☒ Attached at **ATTACHMENT F (nc019f01 – nc019f03)**
- ☐ Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
- ☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:
- ☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)
3. Description of Resident Election Process
- a. Nomination of candidates for place on the ballot: (select all that apply)
- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☒ Other: (describe) **Resident on the Board of Commissioners is appointed by the Mayor of the City of Rocky Mount North Carolina. ATTACHMENT P (nc019q01)**
- b. Eligible candidates: (select one)
- ☐ Any recipient of PHA assistance

- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **City of Rocky Mount, North Carolina**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
 - ☐ Other: (list below)
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) **Before the Certification Consolidation**

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

PHA Plan Table Library

Component 7 Capital Fund Program Annual Statement Parts I, II, and II

Annual Statement

Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

☐ Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non-CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment-Nonexpendable	
12	1470 Nondwelling Structures	
13	1475 Nondwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2-19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

Development Number/Name HA- Wide Activities	General Description of Major Work Categories	Development Account Number	Total Estimated Cost

Annual Statement
Capital Fund Program (CFP) Part III: Implementation Schedule

Development Number/Name HA- Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)

Optional Table for 5-Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5-Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5-Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

ATTACHMENT A

Page 1 of 3

DECONCENTRATION

NC019A01

RESOLUTION NO. 16 (1999)

**Approving Amendment to Rocky Mount Housing Authority (RMHA) Admission
and Continued Occupancy Policy (ACOP) to Provide for Deconcentration of Poverty
and Income Mixing in all RMHA Communities**

WHEREAS, HUD issued a notice in the Federal Register on February 18, 1999 concerning the New Quality Housing and Work Responsibility Act (QRWHA) of 1998 requiring Public Housing Authorities to develop a policy to provide for deconcentration of poverty and income mixing by bringing higher income Residents into lower-income public housing communities and bringing lower-income Residents into higher-income public housing communities; and

WHEREAS, the Executive Director is recommending amending both the Tenant Selection Policies and Procedures, and Tenant Selection and Assignment Plan as stated on the attached sheet to achieve deconcentration of poverty and income mixing in all RMHA communities;

NOW THEREFORE BE IT RESOLVED that the Board of Commissioners of the Rocky Mount Housing Authority hereby approve the attached Amendments to meet HUD's Deconcentration of Poverty and Income Mixing requirements.

Date Adopted: June 8, 1999

Distribution: **HUD**
 RMHA Housing Manager
 RMHA Occupancy Staff
 Residents Presidents
 Resident Advisory Board
 Post
 File

AMENDMENT NO.1
Date: 6/8/99

ACOP AMENDMENTS

11. Tenant Selection Policies and Procedures

Current wording - Page 35, a To avoid concentration of the most economically and socially deprived families in one or all the developments operated by the RMHA

Amendment - Page 35, a. To provide for deconcentration of poverty and income mixing, by bringing higher-income tenants into lower-income public housing communities and bring lower-income Residents into higher-income communities.

12. Tenant Selection and Assignment Plan

Current wording - Page 35, a. Assignment of applications and units must be conducted in accordance with a Tenant Selection and Assignment Plan that meets HUD requirements and approval. Units assignments must be based on the type of project, size and type of unit required, applicable preferences, and date and time of application.

Amendment - Page 35, a. Assignments of applicants and units must be conducted in accordance with a Tenant Selection and Assignment Plan that meets HUD requirements and approval. Units assignments must be based on the project income, size and type of unit required, applicable preferences, and date and time of application.

ATTACHMENT V

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>
<u>Commissioners</u>	#1 To maintain maximum operational Effectiveness	a. Monthly Monitor all expenditures b. Keep abreast of all new policy changes c. Make changes on operations as needed.	#1a. #1b. #1c.	#1a. #1b. #1c.	#1a. #1b. #1c.	#1a. #1b. #1c.	#1a. #1b. #1c.
<u>Housing Management</u>	#1 To maintain maximum operational Effectiveness	a. Achieve and Maintain 97% Occupancy Rate b. Maintain average level of 5% or less in TAR. c. Provide ongoing staff training d. Perform annual housekeeping inspection of all units. e. Revise policies as needed f. Maintain compliance with HUD Regulations g. Provide timely preparations of HUD Reports h. Enforce Lease requirements i. Timely provide and maintain all PHA requirements j. Meet all requirements assigned under QHWRA k. Provide referrals to Residents for Supportive Services	#1a. #1b. #1c. #1d. #1e. #1f. #1g. #1h. #1i. #1j. #1k.	#1a. #1b. #1c. #1d. #1e. #1f. #1g. #1h. #1i. #1j. #1k.	#1a. #1b. #1c. #1d. #1e. #1f. #1g. #1h. #1i. #1j. #1k.	#1a. #1b. #1c. #1d. #1e. #1f. #1g. #1h. #1i. #1j. #1k.	#1a. #1b. #1c. #1d. #1e. #1f. #1g. #1h. #1i. #1j. #1k.
<u>Finance</u>	#1 To maintain maximum operational Effectiveness	a. Maintain sufficient reserve level as required by HUD b. Provide computer training for staff c. Maintain maximum efficiency use of computer Hardware and Software d. Maintain adequate financial records e. Maintain adequate inventory levels f. Provide best investment rates for Reserves Funds	#1a. #1b. #1c. #1d. #1e. #1f.	#1a. #1b. #1c. #1d. #1e. #1f.	#1a. #1b. #1c. #1d. #1e. #1f.	#1a. #1b. #1c. #1d. #1e. #1f.	#1a. #1b. #1c. #1d. #1e. #1f.

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>
<u>Section 8</u>	#1 To maintain maximum operational effectiveness	a. Review Utility Allowance Annually	#1a.	#1a.	#1a.	#1a.	#1a.
		b. Review Rent reasonableness annually	#1b.	#1b.	#1b.	#1b.	#1b.
		c. Perform HQS of all units annually	#1c.	#1c.	#1c.	#1c.	#1c.
		d. Complete goals under FSS Program	#1d.	#1d.	#1d.	#1d.	#1d.
		e. Encourage participants to enter homeownership counseling	#1e.	#1e.	#1e.	#1e.	#1e.
		f. Encourage participants to purchase a home	#1f.	#1f.	#1f.	#1f.	#1f.
		g. Timely produce all required HUD Reports	#1g.	#1g.	#1g.	#1g.	#1g.
		h. Timely establish and maintain all SEMAP requirements					
<u>Crime Prevention</u>	#1 To maintain maximum operational effectiveness	a. Monitor all programs funded with DEGP to ensure goals are met	#1a.	#1a.	#1a.	#1a.	#1a.
		b. Monitor all DEGP expenditures to ensure proper accountability	#1b.	#1b.	#1b.	#1b.	#1b.
		c. Provide Annual Application to obtain DEGP funding	#1c.	#1c.	#1c.	#1c.	#1c.
		d. Meet all goals established under RMHA Safety Program	#1d.	#1d.	#1d.	#1d.	#1d.
		e. Conduct Resident Survey	#1e.	#1e.	#1e.	#1e.	#1e.
<u>Maintenance/ Modernization</u>	#1 To maintain maximum operational effectiveness	a. Meet all requirements assigned under PHAs and REAC	#1a.	#1a.	#1a.	#1a.	#1a.
		b. Meet all requirements established under QHWRA of 1998	#1b.	#1b.	#1b.	#1b.	#1b.
		c. Monitor expenditures to ensure good fiscal management	#1c.	#1c.	#1c.	#1c.	#1c.
		d. Timely provide all required HUD Reports	#1d.	#1d.	#1d.	#1d.	#1d.
		e. Provide staff training programs	#1e.	#1e.	#1e.	#1e.	#1e.
		f. Provide maintenance training/education for Residents	#1f.	#1f.	#1f.	#1f.	#1f.
		g. Monitor use of inventory	#1g.	#1g.	#1g.	#1g.	#1g.
		h. Perform annual inspection of all units	#1h.	#1h.	#1h.	#1h.	#1h.
		i. Provide statistical reports as required by Executive Director	#1i.	#1i.	#1i.	#1i.	#1i.

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>
<u>Commissioners</u>	#2 To increase the level of awareness to policy makers of the need for affordable housing.	a. Provide information to the general public about public housing and its goals and achievements	#2a.	#2a.	#2a.	#2a.	#2a.
		b. Provide ways to generate affordable housing units	#2b	#2b	#2b	#2b	#2b
<u>Housing Management</u>	#2 To increase the level of awareness to policy makers of the need for affordable housing.	a. Provide information on affordable housing in RMHA Newsletter	#2a.	#2a.	#2a.	#2a.	#2a.
		b. Establish flat rent so residents can save money to improve quality of life	#2b.	#2b.	#2b.	#2b.	#2b.
		c. Encourage Residents to purchase home	#2c.	#2c.	#2c.	#2c.	#2c.
<u>Finance</u>	#2 To increase the level of awareness to policy makers of the need for affordable housing.	a. Establish means to use operating funds to support development in accordance with QHWRA	#2a.	#2a.	#2a.	#2a.	#2a.
<u>Commissioners</u>	#3 To provide housing opportunities and preserve exiting housing stock within Fiscal Responsibility	a. Seek ways to develop new housing opportunities	#3a.	#3a.	#3a.	#3a.	#3a.
		b. Review Capital Funding steps taken to preserve existing housing stock	#3b.	#3b.	#3b.	#3b.	#3b.
<u>Maintenance/ Modernization</u>	#3 To provide housing opportunities and preserve exiting housing stock within Fiscal Responsibility	a. Provide ways to use Capital Funds to develop new housing units	#3a.	#3a.	#3a.	#3a.	#3a.
		b. See Comp Grant 5-year program for information on preserving exiting housing stock. (See exhibit #1)	#3b.	#3b.	#3b.	#3b.	#3b.
<u>Commissioners</u>	#4 To promote self-sufficiency among Residents through education and Employment Training	a. Periodically monitor RMHA programs that offers residents opportunities for employment, job training and education	#4a.	#4a.	#4a.	#4a.	#4a.

SPECIFICS OF THE FIVE YEAR PLAN

<u>PROVIDER</u>	<u>GOALS</u>	<u>OBJECTIVES</u>	<u>YEARS</u>				
			<u>2002</u>	<u>2003</u>	<u>2004</u>	<u>2005</u>	<u>2006</u>
<u>Housing Management</u>	#4 To promote self-sufficiency among Residents through education and Employment Training	a. Periodically provide residents with information through monthly newsletters.	#4a.	#4a.	#4a.	#4a.	#4a.
		b. Periodically provide residents with information on job training and employment through monthly newsletters.	#4b.	#4b.	#4b.	#4b.	#4b.
<u>Crime Prevention</u>	#4 To promote self-sufficiency among Residents through education and Employment Training	a. Through the DEGP, develop programs that will provide Residents with opportunity to advance their education, obtaining Job training and employment.	#4a.	#4a.	#4a.	#4a.	#4a.
<u>Section 8</u>	#4 To promote self-sufficiency among Employment Training	a. Provide monthly reports on FSS Program	#4a.	#4a.	#4a.	#4a.	#4a.
		b. Encourage Section 8 participants to seek better education, a job and/or job training	#4b.	#4b.	#4b.	#4b.	#4b.



Revision 4: July 17, 2001

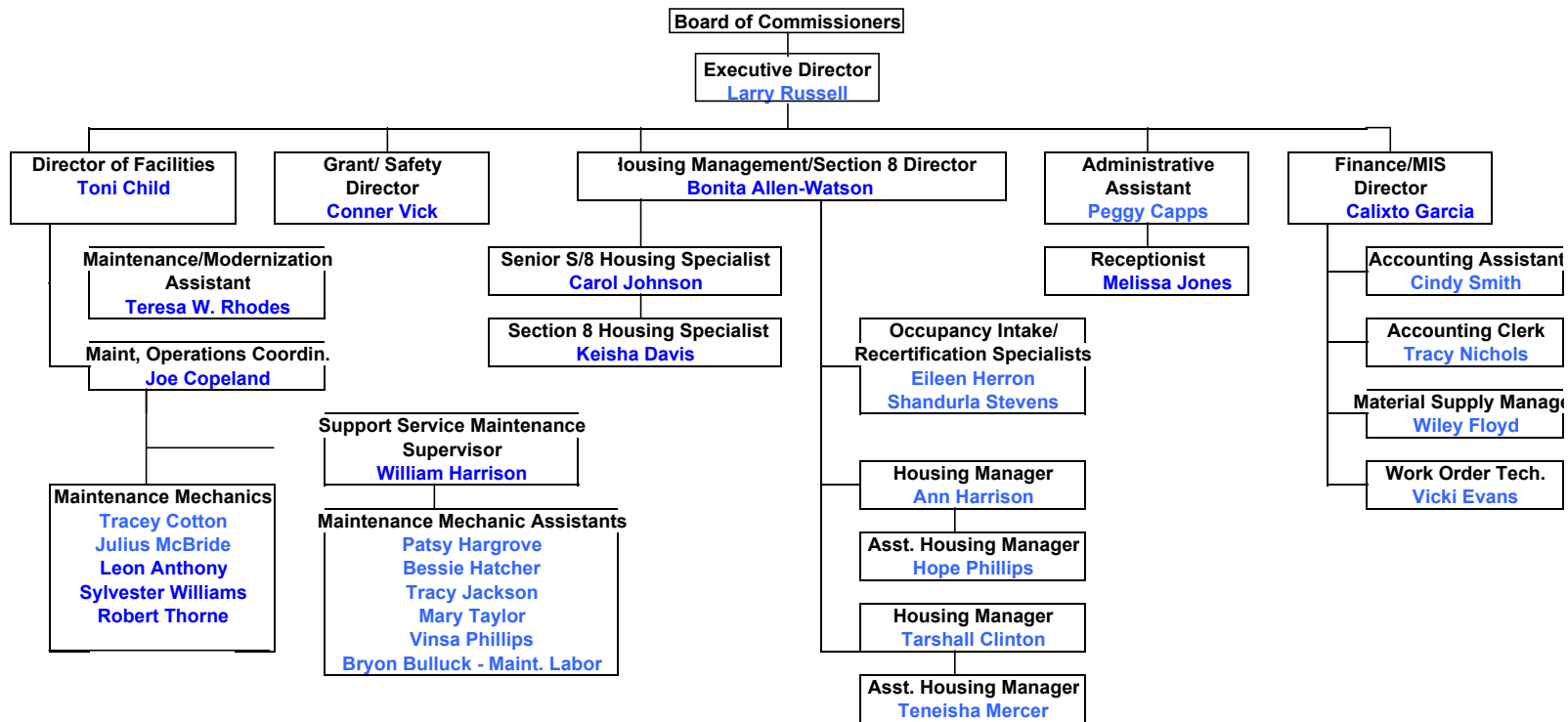
**Rocky Mount Housing Authority's Schedule For Submitting
Conventional & Capital Annual & Five year Plans
FOR FISCAL YEAR 2002**

Prepared By: Larry Russell, Executive Director

<u>DATE</u>	<u>ACTIVITIES</u>
Tuesday, June 12, 2001	Review New Housing Act and Schedule with RMHA Board of Commissioners.
Tuesday, June 21, 2001	Review New Housing Act and Schedule with RMHA Resident Advisory Board.
Tuesday, June 26, 2001 (Staff Meeting)	RMHA Departmental 5-year Goals and Objectives Due.
Tuesday, July 17, 2001	Have First Draft of 5-year and Annual Plan Completed. Notify Advisory Board and Post.
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Monday, July 30, 2001	Weeks Armstrong Homes Resident Community Meeting - Capital Funds Grant 2002
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October 1, 2001 - October 8, 2001	Meet with Advisory Board If Necessary.
Tuesday, October 9, 2001	Present 5-year and Annual Plan to RMHA Board of Commissioners for Final Approval.
Wednesday, October 10, 2001	Mail Final 5-year Annual Plan to HUD for Approval. (NOTE - PLAN DUE TO HUD ON THURSDAY, OCTOBER 18, 2001)

cc: WET Resident President
WAH Resident President
Resident Advisory Board
RMHA Department Heads
RMHA Board of Commissioners
HUD
Post
File

ORGANIZATIONAL CHART



File/employee/orgcha

From: Teresa [Teresa@rm-ha.org]
Sent: Monday, October 15, 2001 9:50 AM
To: Peggy
Subject: Page 1- 5 Year Action Plan

[illegible]

Work Statement for Year 4			Work Statement for Year 5				Numb er/Na me/H A-				
							Wide	2002	FFY Grant:	2003	FFY Grant:
2004	FFY Grant:	2005			FFY Grant:		2006		PHA FY:		PHA FY:
	PHA FY:		FFY: '05		PHA FY:		PHA Wide		\$185, 000		\$190, 000
	\$192, 000				\$195, 000		NC 19-1; West End Terrac e		\$48,0 00		\$150, 000
	\$75,0 00				\$50,0 00		NC 19-2; Weeks Armst rong		\$0		\$0
	\$0				\$0		NC 19-3; West End Terrac e		\$150, 000		\$43,0 00
	\$140, 000				\$150, 000		NC 19-4; Weeks Armst rong	Annua l	\$165, 000		\$265, 000
	\$273, 841				\$165, 000		NC 19-5; Scatte red Sites		\$202, 841		\$102, 841
	\$200, 000				\$200, 000		NC 19-9; McInt yre Lane	State ment	\$100, 000		\$10,0 00

	\$5,000				\$90,000		HA-Wide contingency @X%		\$0		\$0
	\$0				\$0		HA-Wide Physical Activities		\$160,000		\$250,000
	\$125,000				\$160,841		Management Improvements		\$175,000		\$175,000
	\$175,000				\$175,000		HA-Wide Non-dwelling Structures		\$150,000		\$150,000
	\$150,000				\$150,000		CFP Funds Listed for		\$850,841		\$760,841
	\$885,841				\$850,000		5-year planning		\$1,335,841		\$1,335,841
	\$1,335,841				\$1,335,841		Replacement Housing				
							Factor Funds		\$0		\$0
	\$0				\$0						
							Capital Fund Program Five-Year Action Plan				

								Part I: Summary (Continuation)			
								HA Name:			
				X	Original 5-Year Plan						
					Revisi on No:			Rocky Mount Housi ng Autho rity			
								Devel opmen t	Year 1	Work Statement for Year 2	Work State ment for Year 3
	Work Statement for Year 4		Work Statement for Year 5				Numb er/Na me/H A-				
							Wide	2002	FFY Grant:	2003	FFY Grant:
2004	FFY Grant:	2005			FFY Grant:		2006		PHA FY:		PHA FY:
	PHA FY:				PHA FY:			0	\$0		\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	See	\$0	\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	Annua l	\$0	\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	State ment	\$0	\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	\$0		\$0
	\$0				\$0			0	\$0		\$0

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	\$0				\$0						
									\$0		\$0
	\$0				\$0						



Revision 4: July 17, 2001

**Rocky Mount Housing Authority's Schedule For Submitting
Conventional & Capital Annual & Five year Plans
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Prepared By: Larry Russell, Executive Director

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cc: WET Resident President
WAH Resident President
Resident Advisory Board
RMHA Department Heads
RMHA Board of Commissioners
HUD
Post
File

letter/lrhrgoal

ATTACHMENT E

Public Housing Drug Elimination Program Plan

Note: THIS PHDEP Plan template (HUD 50075-PHDEP Plan) is to be completed in accordance with Instructions located in applicable PIH Notices.

Annual PHDEP Plan Table of Contents:

1. General Information/History
2. PHDEP Plan Goals/Budget
3. Milestones
4. Certifications

Section 1: General Information/History

A. Amount of PHDEP Grant \$188,691.00

B. Eligibility type (Indicate with an "x") N1 _____ N2 _____ R _____ X _____

C. FFY in which funding is requested 2002 _____

D. Executive Summary of Annual PHDEP Plan

In the space below, provide a brief overview of the PHDEP Plan, including highlights of major initiatives or activities undertaken. It may include a description of the expected outcomes. The summary must not be more than five (5) sentences long

E. Target Areas

Complete the following table by indicating each PHDEP Target Area (development or site where activities will be conducted), the total number of units in each PHDEP Target Area, and the total number of individuals expected to participate in PHDEP sponsored activities in each Target Area.

PHDEP Target Areas (Name of development(s) or site)	Total # of Units within the PHDEP Target Area(s)	Total Population to be Served within the PHDEP Target Area(s)
West End Terrace	372	901
Weeks Armstrong	394	1204

F. Duration of Program

Indicate the duration (number of months funds will be required) of the PHDEP Program proposed under this Plan (place an "x" to indicate the length of program by # of months. For "Other", identify the # of months).

6 Months _____ **12 Months** _____ **18 Months** _____ **24 Months** X **Other** _____

NC019E01

G. PHDEP Program History

Indicate each FY that funding has been received under the PHDEP Program (place an “x” by each applicable Year) and provide amount of funding received. If previously funded programs have not been closed out at the time of this submission, indicate the fund balance and anticipated completion date. For grant extensions received, place “GE” in column or “W” for waivers.

Fiscal Year of Funding	PHDEP Funding Received	Grant #	Fund Balance as of Date of this Submission	Grant Extensions or Waivers	Anticipated Completion Date
FY 1996-1997	209,000	NC19DEP0190197	-0-		Completed
FY 1997-1998	230,100	NC19DEP0190198	-0-		Completed
FY 1998-1999	168,914	NC19DEP0190199	\$23,741.00		12-2001
FY1999-2000	176,043	NC19DEP0190200	\$17,348.00		9-2001
FY 2000-2001	188,691	NC19DEP0190201	\$188,691.00		11-2002

Section 2: PHDEP Plan Goals and Budget

A. PHDEP Plan Summary

In the space below, summarize the PHDEP strategy to address the needs of the target population/target area(s). Your summary should briefly identify: the broad goals and objectives, the role of plan partners, and your system or process for monitoring and evaluating PHDEP-funded activities. This summary should not exceed 5-10 sentences.

B. PHDEP Budget Summary

Enter the total amount of PHDEP funding allocated to each line item.

FY <u>2002</u> PHDEP Budget Summary	
Budget Line Item	Total Funding
9110 - Reimbursement of Law Enforcement	45,961
9120 - Security Personnel	
9130 - Employment of Investigators	
9140 - Voluntary Tenant Patrol	
9150 - Physical Improvements	
9160 - Drug Prevention	135,730
9170 - Drug Intervention	
9180 - Drug Treatment	
9190 - Other Program Costs	7,000
TOTAL PHDEP FUNDING	188,691

C. PHDEP Plan Goals and Activities

In the tables below, provide information on the PHDEP strategy summarized above by budget line item. Each goal and objective should be numbered sequentially for each budget line item (where applicable). Use as many rows as necessary to list proposed activities (additional rows may be inserted in the tables). PHAs are not required to provide information in shaded boxes. Information provided must be concise—not to exceed two sentences in any column. Tables for line items in which the PHA has no planned goals or activities may be deleted.

9110 - Reimbursement of Law Enforcement					Total PHDEP Funding: \$45,961		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount/ Source)	Performance Indicators
1.			9/2002	9/2004	45,961	-0-	
2.							
3.							

9120 - Security Personnel					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9130 - Employment of Investigators					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9140 - Voluntary Tenant Patrol					Total PHDEP Funding: \$				
Goal(s)									
Objectives									

Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9150 - Physical Improvements					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9160 - Drug Prevention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.	2100	RMHA	2/2002	9/2004	135,730	-0-	
2.							
3.							

9170 - Drug Intervention					Total PHDEP Funding: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9180 - Drug Treatment					Total PHDEP Funding: \$				
Goal(s)									

Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHDEP Funding	Other Funding (Amount /Source)	Performance Indicators
1.							
2.							
3.							

9190 - Other Program Costs					Total PHDEP Funds: \$		
Goal(s)							
Objectives							
Proposed Activities	# of Persons Served	Target Population	Start Date	Expected Complete Date	PHEDep Funding	Other Funding (Amount /Source)	Performance Indicators
1.			9/2002	9/2004	7,000	-0-	
2.							
3.							

Section 3: Expenditure/Obligation Milestones

Indicate by Budget Line Item and the Proposed Activity (based on the information contained in Section 2 PHDEP Plan Budget and Goals), the % of funds that will be expended (at least 25% of the total grant award) and obligated (at least 50% of the total grant award) within 12 months of grant execution.

Budget Line Item #	25% Expenditure of Total Grant Funds By Activity #	Total PHDEP Funding Expended (sum of the activities)	50% Obligation of Total Grant Funds by Activity #	Total PHDEP Funding Obligated (sum of the activities)
<i>e.g Budget Line Item # 9120</i>	<i>Activities 1, 3</i>		<i>Activity 2</i>	
9110 45,961		45,961		45,961
9120				
9130				
9140				
9150				
9160 135,730		135,730		135,730
9170				
9180				
9190 7,000		7,000		7,000
TOTAL		\$188,691		\$188,691

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the “PHA Certifications of Compliance with the PHA Plan and Related Regulations.”

ATTACHMENT E (3RD Part)

D. EXECUTIVE SUMMARY

The authorities PHDEP is built around the following programs -- Community Policing and youth activities.

The objectives of Community Policing in the Rocky Mount Housing Authority (RMHA) is to develop trust at the neighborhood level by identifying and learning to effectively work with the community around a shared program for drug education and victim/witness responsibilities; the needs of the community in general; and the needs of victims in particular.

The focus of our youth programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

SECTION 2
A--PHDEP PLAN SUMMARY

There is clearly a need in our community for our youth to have an alternative to drugs, alcohol, teenage pregnancy, and dropping out of school. Our youth programs will indeed provide for a much needed physical fitness program for you youth.

The broad strategies for intervention employed by our youth programs:

1. Develop highly structured activities that foster sportsmanship, discipline, respect, honesty and fair play.
2. Provide peer tutoring to improve academic performance.
3. Provide parental skill building in communication and discipline.
4. Provide mentoring opportunities involving successful community citizens to provide a positive, caring role model.

Evaluation of programs will be through monthly reports and end of year evaluation by a third party and RMHA Staff.

REIMBURSEMENT OF LAW ENFORCEMENT (9110)

GOALS

Community Police Officers offer a wide variety of crime prevention programs and outreach services and program to at-risk youth in targeted communities, such as Kids Against The Streets -- K.A.T.S., Boy Scout Explorer Post and Youth Understand Cigarettes Kill -- Y.U.C.K. Additionally, the officers act as liaisons between resident committees and local government, including the police department.

OBJECTIVES

The Rocky Mount Police Department will work closely with the Rocky Mount Housing Authority's (RMHA) Safety and Security Specialist and the Analysis and Reporting Section to monitor police activities, gather data on criminal activities, evaluate progress and success, and plan for future efforts. RMHA Property Managers also will be a part of this effort and will move to terminate the lease of any resident involved in drug-related criminal activity.

PROPOSED ACTIVITIES

The Rocky Mount Police Department (RMPD) has well established partnership with the Rocky Mount Housing Authority. This program is modeled after the "Weed and Seed" prototype and provides a mix of proactive and law enforcement elements (weeding) and community-wide oriented prevention elements (seeding).

PERFORMANCE INDICATORS

By August 31, 2002, the number of calls for police services to RMHA developments will be reduced by 60%.

By August 31, 2002, 100% of all residents and staff of the Authority will know the Community Police Officers by name.

NC019E01c

DRUG PREVENTION (9160)

GOALS

To promote academic excellence and good citizenship through athletics. Our goal is to provide opportunities and resources so that youth living in assisted housing may advance to satisfactory levels in their academic and athletic goals.

OBJECTIVES

To translate the dedication and work ethic necessary to be successful in sports to the classroom. To provide each participant the opportunity to receive additional help and tutoring with schoolwork and increase the level of education to that desired by the individual.

PROPOSED ACTIVITIES

The components of our sports programs teach the youth: football, basketball, soccer and baseball are not only games of brawn but also games of speed, agility and carefully orchestrated team work; no matter which sport you play, set high standards and inspire loyalty; play by the rules and be dedicated to the highest standards and goals; always striving to improve, whether playing a sport or simply living.

PERFORMANCE INDICATORS

Number of youth who have improved self-esteem, number of youth who have improved behavior, number of youth who have improved sense of responsibilities and opportunities.

Did the programs translate the dedication and work ethic necessary to be successful in sports to the classroom? Did the programs provide each participant the opportunity to receive additional help and tutoring with school work and increase the level of education to that desired by the individual?

OTHER PROGRAM COST (9190)

GOALS

To conduct assessments and evaluations of the effectiveness of the PHDEP.

OBJECTIVES

The Rocky Mount Housing Authority (RMHA) strongly suggests that the principal approaches that the evaluator uses are Impact and Process evaluations. Impact evaluations determine if the programs are having the intended effect on the targeted problems and process evaluations determine if the programs are having the intended effect on the targeted problems and process evaluations determine if the programs is operating the way they were designed to operate. By looking at process and outcome, the Evaluator has a basis for knowing not only what the successes and failures are but what accounts for them.

PROPOSED ACTIVITIES

The major focus of the evaluation will be to insure that the increase knowledge gain through the training, consultation, and technical assistance efforts are effectively and consistently applied by staff working with youth and families during the implementation process. An evaluation of the Drug Elimination Program will be conducted as the primary part of the program development and implementation components of this grant. A series of program dimensions will be assessed through data collection. Reports from the progress of the program will be completely monthly and quarterly along with an annual report that will summarize the results of the overall program for the first year of funding. The program effectiveness will be evaluated primarily employing a multiple baseline design, (Baer, Wolf and Risley).

PERFORMANCE INDICATORS

Did the evaluation answer the following questions:

What was done in the program?

How was the program carried out?

Who participated in the program?

Was the program implemented as intended?

Did the program achieve what was expected?

Did the program produce the desired long-term effects?

Section 4: Certifications

A comprehensive certification of compliance with respect to the PHDEP Plan submission is included in the "PHA Certifications of Compliance with the PHA Plan and Related Regulations."

ROCKY MOUNT HOUSING AUTHORITY
ROCKY MOUNT, NORTH CAROLINA
LARRY RUSSELL, EXECUTIVE DIRECTOR

PHDEP Plan for FY 2001

Our PHDEP Plan for FY 2002 is built around the following programs:

COMMUNITY POLICING

PROGRAM DESCRIPTION

In our ninth (9) year of having Community Policing Officers patrolling communities of the Rocky Mount Housing Authority (RMHA) we have found that community policing is at one time many things: it is philosophy, a style, a method, an approach, and an understanding of community-specific needs. Community policing is not rote, patterned unchanging, inflexible, and impersonal. Rather, it is a people and community-centered approach that redefines conventional police wisdom. Community policing is both "results" and "process" oriented. While results are clearly the end of the community policing, the process of problem identification, problem analysis, and problem solving are also vitally important and directly influence results. Other distinguishing characteristics of community policing include values, accountability, decentralization, power sharing, "beat" redesign assignments, empowerment of beat officers, investigations, supervision and management, training performance measures, and managing calls for police assistance.

Community policing values citizen input and participation in problem identification, analysis and solving. At its core, community policing is a philosophy that is accountable to residents of the community. It is decentralized to allow officers closest to the community to work with residents to solve problems. It may require redistricting police beats as rigidly assigned reporting boundaries don't always reflect neighborhood boundaries. Community policing involves local police officers who are most familiar with the communities in investigations that were traditionally centrally operated. This program encourages local police officers to become more involved in the assignment of the patrol activities.

The training integrates a community-centered problem solving philosophy at all levels of instruction from basic cadet training to supervisory and management training. Community policing performance measures success in terms beyond statistical reporting of arrests and citations, and rewards officer involvement with community members in crime prevention and resulting reduced crime rates.

COMMUNITY-CENTERED PATROL ACTIVITIES

Community policing is not defined by a specific program, but is more broadly illustrated as an array of strategies that place police officers in closer contact with the citizens they serve. Examples of community-centered patrol activities include:

1. Foot Patrols — placing officers in the communities situations more apt to involve residents thereby building trust.
2. Bicycle Patrols — ***providing a proven and effective law enforcement strategy with additional community relations benefits.***
3. Problem-oriented policing programs — Involving residents, housing authority administrators and staff, and other community members into police partnerships to address specific community needs.
4. Supporting resident patrols — encouraging residents participation and supporting local organizations through visible and frequent contact while on patrol.
5. Fear reduction programs — providing needed assistance to residents reducing their fear of crime and increasing their likelihood of becoming involved in drug and crime prevention activities in their community.
6. Community patrol officer programs — having police officers conduct foot patrols, vertical patrols, and crime prevention through environmental design (CTED) activities.

They can also promote crime prevention activities such as resident patrols and neighborhood watch groups, and provide valuable assistance to residents by creating relationships with other community service agency personnel.

2

7. Community substation — placing officers closer to the people they serve and protect, reducing response times, and thereby reducing residents' fears of crimes, and increasing community relations.
8. Code enforcement teams — rallying other community personnel such as inspectors for fire codes, building codes, health codes and tax codes to identify and turnaround troubled housing situations that contribute to neighborhood decay.

While none of these programs individually compromise community policing, together they embody a philosophy that places community needs at the forefront of policing priorities.

OBSERVABLE OUTCOMES

In the Rocky Mount Housing Authority's (RMHA's) communities, community policing increases accountability to communities and provide quicker reaction times to respond to reports of drug and crime activities more efficiently.

Community policing increases police and citizen partnerships building confidence by involving and supporting residents in problem-solving situations.

Community policing encourages proactive crime prevention by placing them closer to problem areas, enabling them to intervene earlier through foot patrols, and identification and removal of contributors to drug and crime problems.

Community policing encourages decentralization operations and creative problem solving. Community policing supports team decision making to solve problems at the street level where problems are most evident and solvable.

Community policing address issues of neighborhood decay preventing, wherever possible, such vices as drug selling, prostitution, and street gambling which can result in greater levels of deterioration if not abated. Such deterioration may serve as a magnet drawing unwanted criminal offenders into the neighborhood.

PROBLEM-ORIENTED POLICING

Community policing requires nothing less than problem oriented policing. Policing activities must be appropriate for community-specific needs. Police must be aware of community needs in order to address them. A problem oriented policing approach has four basic goals:

First, to increase police performance in reducing drugs and crime by addressing root causes underlying community-specific problems. Not in some soft or passive number, but rather in a proactive and assertive manner that encourages officers to look beyond observable symptoms to address deeper rooted problems.

Second, to increase all police officer's knowledge, capacity, and reliance on creative approaches to analyze community-specific problems, identify strategies and tactics, and develop solutions

appropriate for targeted communities, rewarding where possible the creative efforts of the officers involved;

Third, to encourage police officers to develop linkages with outside resources that may include housing, schools, healthcare, and treatment providers, businesses, churches, and other service organizations in cooperative efforts to solve community-specific problems and;

Fourth, to foster better human relations within communities to ensure that police response is appropriate, proactive, and viewed as contributing to the crime solution by their communities and citizens.

Community policing works best in the light, in public, when successes are broadcasted.

Larry Russell, Executive Director, Rocky Mount Housing Authority (RMHA) has stated on several occasions:

“Prevention is the historical legacy of the modern police force. Sir Robert Peel, author of the “1829 Metropolitan Police Act”, directed in his first operational order to the London Police that “it should be understood, at the onset, that the principal object to be attained is the prevention of crime. To this great end, every effort is to be directed.”

The Rocky Mount Police Department has been deeply involved in the development and ongoing implementation of drug elimination in public housing. All officers within the Rocky Mount Police Department provide assistance in making Housing Authority Communities a safe place to live. Thanks to federal grant funds two Rocky Mount Police Officers are able to concentrate the majority of their time on Housing Authority concerns. Having these two officers working for housing allows those that work and live in Housing to have all of their time related concerns taken care of in a quick and efficient manner.

The Housing Authority Officers actively patrols all Public Housing Communities within the city. The concentrated attention reduces loitering and the crime potential within these communities. Field interviews are taken of all suspicious persons within the community and those persons that have banned from the property are charged with trespassing. A recent directed patrol of one community resulted in 13 arrests, including 2 for illegal drugs. Housing Authority Officers also take part in a number of community meetings are attentive to what is said in these meetings.

Housing Authority Officers review daily reports for incidents which have occurred within housing. This information is given to resident managers and any questions they may have been answered. These reports allow the resident managers to know what is being done in their communities and allows them to take the appropriate action. These reports have allowed the Housing Authority to evict people that have taken part in criminal activity including the use of drugs.

NC019E01h

Rocky Mount Housing Authority
Rocky Mount, North Carolina
Larry Russell, Executive Director

PHA/PHDEP PLAN FOR FY 2000

COMMUNITY POLICING

Supplementary Law Enforcement activities requested are those services not presently covered by baseline services provided by the Rocky Mount Police Department. Specifically, Rocky Mount Housing Authority (RMHA) proposes to improve data collection and information exchange, target investigation, and narcotics enforcement at hot spots identified with public housing. Data Collection and information exchange includes the provision of fee-based information services from federal and local law enforcement agencies, in addition to improved information retrieval and archival for planning and analysis purposes. Targeted investigations and narcotics enforcement are designed to respond to and/or preempt street level drug market activity from occurring "in and around" housing authority premises, especially in locations where kids are present.

COMPUTER LEARNING CENTER

These funds will help to continue an extremely successful program for youth and adults living in our communities. The Rocky Mount Housing Authority has computer equipment purchased with money from a previous Drug Elimination Grant valued at \$175,000.00. Fund will be extended to pay for one (1) resident (RMHA) to help with the program. The program will promote our "Welfare To Work" Program for residents (RMHA). The school system will pay the salary and fringe benefits, program director and the class rooms, utilities, janitorial services, etc. will be provided by the school system at no cost to the RMHA.

SPORTS PROGRAMS

Increasingly, experts agree that the best long-term answer to drug abuse is effective prevention and that the most effective focuses on reaching "at risk" children. The RMHA, with its focus on at-risk youths and its long history of leadership in youth development programs, is strategically placed to provide highly effective, well managed and successful prevention for RMHA youth.

NC019E01i

The classroom setting may not be the most effective place to teach “life skills”; golf programs may be a more appropriate setting. Children may learn social responsibility more effective in adult supervised, real-life situations, such as on a golf course than in “pretend” exercises.

A strong policy against substance abuse-clearly articulated, consistently enforced and broadly communicated is the foundation upon which we will build our sports programs.

EDUCATION + HARD WORK - DRUGS = THE AMERICAN DREAM

OPERATION LIFT
(Learning Is Fun Today)

The North Carolina Army National Guard units located in Rocky Mount, North Carolina in conjunction with the Rocky Mount Housing Authority, will operate Operation LIFT (Learning Is Fun Today). Operation LIFT is the sixth iteration of a youth program focused on Drug Demand Reduction in the Rocky Mount, North Carolina community.

Operation LIFT is a program for public housing youth, ages 11 to 16 years, male and female, to be conducted at the Rocky Mount National Guard, 600 Walnut Street, Rocky Mount, North Carolina.

GIRL SCOUTS PROGRAM

Girl Scouting is - A movement that gives from all segments of American Life a chance to develop their potential to make friends and to become a vital part of their community. Based on ethical values, it opens up a world of opportunity for youth working in partnership with adult volunteers.

COMMUNITY SERVICES COORDINATOR

The Community Services Coordinator position is the key ingredient in our strategy to eliminate drugs and drug-related crime from our housing development and in assisting the residents to recognize their ability and right to take control of their lives and environment.

ARTS PROGRAM

This program will help out youth connect through the arts. This program will put them in touch with the best of themselves, the best of their neighborhoods and the best that the world has to offer in music and the related arts. Everyone can find a program of instruction or activity in music and the related arts that are appropriate to his or her needs and aspirations. The program is dedicated to a mission of service and excellence in a setting that is fully accessible to students of all backgrounds and circumstances, without regard to ethnicity or ability to pay.

DR. MARTIN LUTHER KING CHOIR

Having evaluated our previous programs and the statistics of American young adult males, in particular African American males, along with racial tension from our youth, we now find it necessary to challenge the myths and statistics. This program will seek young people to discuss cultural diversity, violence and race relations. It is our intent the sessions will underscore the importance of educating youth to prepare them for the responsibility of citizenship. These sessions will help release our communities from the bondage of racism by using our youth to be a vehicle to carry this philosophy of hope.

EDUCATIONAL/TRAINING SEMINARS

RMHA staff and residents directly involved with the implementation of PHDEP will be offered the opportunity to attend training and seminars that support program goals. The training can include, but is not limited to, the following training programs, and seminars:

- *HUD sponsored training related to PHDEP*
- *Crime data analysis training*
- *Crime Prevention Training*
- *Training related to prevention, intervention, treatment, security or evaluation for PHDEP activities.*

INDEPENDENT THIRD PARTY TO EVALUATE

The RMHA will hire an independent third party to conduct independent assessments and evaluations of the effectiveness of the PHDEP program.

ATTACHMENT G

STATEMENT OF PROGRESS

In striving to achieve the mission and goals of Rocky Mount Housing Authority (RMHA) the agency has done the following in FY 2001:

- . ~~Thirty-four (34) apartments~~ that were flooded has been renovated. Also, twenty-six (26)
- . The 1999 and 2000 Grants will closeout by December 2001;
- . Acquired thirty-nine (39) additional Fair-ShareSection 8 Vouchers ;
- . Partnership with various agencies for supportive services;
- . ~~Expanded~~ outreach efforts to increase applications for housing program via newspaper and

NCO19HO1

COMPONENT # 1

1. STATEMENT OF HOUSING NEEDS

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

According to the Rocky Mount's Down East Home Consortium: Consolidated Plan (DEHC) dated 1997-2002, the DEHC consists of ten (10) jurisdictions within both Nash and Edgecombe Counties, North Carolinas. The total estimated population in the DEHC jurisdictions is 140,227.

Rocky Mount Housing Authority (RMHA) has apartments in 4 census tracts; 102, 103, 105.01, and 204. The Housing Needs of Families in the Jurisdictions by Family type are reflected herein.

RMHA along with the of City of Rocky Mount and other local non-profits will make a sound effort to address the housing need of families in Rocky Mount over the next five (5) years as stated herein.

CHAS Table 1C - All Househo

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.

The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.

The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction: Rocky Mount City, NC			Source of Data CHAS Data Book		
Household by Type, Income, & Housing Problem	Renters				
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters
	(A)	(B)	(C)	(D)	(E)
1. Very Low Income (0 to 50% MFI)	1,212	1,361	291	543	3,407
2. 0 to 30% MFI	875	811	155	287	2,128
6. 31 to 50% MFI	337	550	136	256	1,279
10. Other Low-Income (51 to 80% MFI)	303	743	229	508	1,783
14. Moderate Income (81 to 95% MFI)	69	304	87	348	808
18. Total Households**	1,787	3,783	926	1,993	8,489

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

olds

Data is Adjusted per Community 2020 Projections for the Year: 2002			
Owners			
Elderly	All Other Owners	Total Owners	Total Households
(F)	(G)	(H)	(I)
857	378	1,235	4,642
386	216	602	2,730
471	162	633	1,912
573	479	1,052	2,835
230	396	626	1,434
2,841	5,907	8,748	17,237

CHAS Table 1C - White Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.

The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.

The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction: Rocky Mount City, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	713	218	60	227
2. 0 to 30% MFI	463	132	29	97
6. 31 to 50% MFI	250	86	31	130
10. Other Low-Income (51 to 80% MFI)	292	358	73	355
14. Moderate Income (81 to 95% MFI)	51	66	11	323
18. Total Households**	1,245	1,577	282	1,485

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year:			
	2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
1,218	382	103	485	1,703
721	147	59	206	927
497	235	44	279	776
1,078	351	181	532	1,610
451	135	173	308	759
4,589	1,676	3,053	4,729	9,318

CHAS Table 1C - Black Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.

The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.

The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction: Rocky Mount City, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	515	900	185	279
2. 0 to 30% MFI	399	538	100	163
6. 31 to 50% MFI	116	362	85	116
10. Other Low-Income (51 to 80% MFI)	62	363	132	189
14. Moderate Income (81 to 95% MFI)	24	192	56	81
18. Total Households**	652	1,968	539	675

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year:			
	2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
1,879	536	322	858	2,737
1,200	285	191	476	1,676
679	251	131	382	1,061
746	193	357	550	1,296
353	87	255	342	695
3,834	1,067	2,884	3,951	7,785

CHAS Table 1C - All Househo

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.

The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.

The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction: Nash County, NC			Source of Data CHAS Data Book		
Household by Type, Income, & Housing Problem	Renters				
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters
	(A)	(B)	(C)	(D)	(E)
1. Very Low Income (0 to 50% MFI)	1,763	1,660	504	854	4,781
2. 0 to 30% MFI	1,196	955	261	429	2,841
6. 31 to 50% MFI	567	705	243	425	1,940
10. Other Low-Income (51 to 80% MFI)	437	1,334	376	804	2,951
14. Moderate Income (81 to 95% MFI)	89	528	128	460	1,205
18. Total Households**	2,498	6,030	1,482	3,076	13,086

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

olds

Data is Adjusted per Community 2020 Projections for the Year: 2002			
Owners			
Elderly	All Other Owners	Total Owners	Total Households
(F)	(G)	(H)	(I)
2,338	1,464	3,802	8,583
1,268	595	1,863	4,704
1,070	869	1,939	3,879
1,330	2,070	3,400	6,351
546	1,495	2,041	3,246
6,649	17,064	23,713	36,799

CHAS Table 1C - White Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.

The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.

The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction: Nash County, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	1,307	448	182	465
2. 0 to 30% MFI	827	163	54	211
6. 31 to 50% MFI	480	285	128	254
10. Other Low-Income (51 to 80% MFI)	485	895	139	638
14. Moderate Income (81 to 95% MFI)	93	315	52	461
18. Total Households**	2,094	3,919	692	2,551

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year: 2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
2,402	1,505	688	2,193	4,595
1,255	742	276	1,018	2,273
1,147	763	412	1,175	2,322
2,157	1,003	1,047	2,050	4,207
921	426	969	1,395	2,316
9,256	4,895	11,878	16,773	26,029

CHAS Table 1C - Black Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at +27.01%.

The renter occupied households in 2002 is estimated at 35.56% of all occupied units. The owner occupied households in 2002 is estimated at 64.44% of all occupied units.

The change in elderly is estimated to be +1.43% from 1990 to 2002.

Name of Jurisdiction: Nash County, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	476	827	226	306
2. 0 to 30% MFI	349	521	135	166
6. 31 to 50% MFI	127	306	91	140
10. Other Low-Income (51 to 80% MFI)	36	410	165	201
14. Moderate Income (81 to 95% MFI)	11	181	55	64
18. Total Households**	555	1,896	581	691

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year:			
	2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
1,835	937	940	1,877	3,712
1,171	633	406	1,039	2,210
664	304	534	838	1,502
812	286	1,312	1,598	2,410
311	93	583	676	987
3,723	1,628	5,119	6,747	10,470

CHAS Table 1C - All Househo

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.

The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.

The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction: Edgecombe County, NC			Source of Data CHAS Data Book		
Household by Type, Income, & Housing Problem	Renters				
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters
	(A)	(B)	(C)	(D)	(E)
1. Very Low Income (0 to 50% MFI)	1,198	1,475	421	519	3,613
2. 0 to 30% MFI	853	831	207	321	2,212
6. 31 to 50% MFI	345	644	214	198	1,401
10. Other Low-Income (51 to 80% MFI)	211	670	272	356	1,509
14. Moderate Income (81 to 95% MFI)	60	259	126	146	591
18. Total Households**	1,648	3,544	1,108	1,418	7,718

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

olds

Data is Adjusted per Community 2020 Projections for the Year: 2002			
Owners			
Elderly	All Other Owners	Total Owners	Total Households
(F)	(G)	(H)	(I)
1,372	861	2,233	5,846
711	406	1,117	3,329
661	455	1,116	2,517
613	1,141	1,754	3,263
350	785	1,135	1,726
3,485	9,070	12,555	20,273

CHAS Table 1C - White Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.

The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.

The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction: Edgecombe County, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	621	395	45	172
2. 0 to 30% MFI	390	174	16	76
6. 31 to 50% MFI	231	221	29	96
10. Other Low-Income (51 to 80% MFI)	167	300	42	197
14. Moderate Income (81 to 95% MFI)	29	172	33	105
18. Total Households**	997	1,735	223	821

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year:			
	2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
1,233	570	288	858	2,091
656	265	103	368	1,024
577	305	185	490	1,067
706	334	389	723	1,429
339	212	280	492	831
3,776	1,890	4,253	6,143	9,919

CHAS Table 1C - Black Non-

The following estimates are derived from 2002 projection data. The data project the following:

The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -0.82%.

The renter occupied households in 2002 is estimated at 38.07% of all occupied units. The owner occupied households in 2002 is estimated at 61.93% of all occupied units.

The change in elderly is estimated to be +1.81% from 1990 to 2002.

Name of Jurisdiction: Edgecombe County, NC			Source of Data CHAS Data Book	
Household by Type, Income, & Housing Problem	Renters			
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households
	(A)	(B)	(C)	(D)
1. Very Low Income (0 to 50% MFI)	593	906	284	306
2. 0 to 30% MFI	448	538	143	204
6. 31 to 50% MFI	145	368	141	102
10. Other Low-Income (51 to 80% MFI)	77	355	183	171
14. Moderate Income (81 to 95% MFI)	30	109	75	59
18. Total Households**	747	1,801	709	658

** Includes all income groups -- including those above 95% MFI

Housing problems and cost burden data is not available for the year 2002

Hispanic Households

	Data is Adjusted per Community 2020 Projections for the Year: 2002			
	Owners			
Total Renters	Elderly	All Other Owners	Total Owners	Total Households
(E)	(F)	(G)	(H)	(I)
2,089	849	643	1,492	3,581
1,333	485	353	838	2,171
756	364	290	654	1,410
786	262	830	1,092	1,878
273	117	558	675	948
3,915	1,499	4,871	6,370	10,285

ATTACHMENT J

COMPONENT # 5

**STATEMENT OF ROCKY MOUNT HOUSING AUTHORITY'S OPERATION
AND MANAGEMENT**

To ensure proper operation and management of Rocky Mount Housing Authority's (RMHA's) housing units, the following information is attached:

Part I **Policy Title**

1. Admissions & Continued
 Occupancy Policy
 (Policy on file for public review)
2. Maintenance Plan
 (Plan on File for public review)
3. Procurement Policy
 (Plan on file for public review)

Part II

PROGRAMS ADMINISTERED BY RMHA

1. Convention Housing, Section 8; and New Construction Chart.(Budgets to be submitted to HUD and on display for public review)

b. Resident Initiative Programs

1. Family Self Sufficiency

Maximum program size is 35. This program offers assistance to S/8 participants interested in developing job skills and/or educational skills.
(FSS Action Plan is on display for public review)

2. Public Housing Drug Elimination Program

Through this program, Rocky Mount Housing Authority (RMHA)

offers program aimed at drug use prevention for youth and adults.

RMHA has received funding for various programs over the past 7 years.

A list of current programs and progress reports are attached.
(Program update on display for public review)

3. RMHA's Organizational Chart

Currently RMHA has thirty-three (33) full-time employees.
(RMHA's organizational chart is on display for review)

4. Facilities Managment/Maintenance Plan

Facilities Management/Maintenance Planning both play a major role in the overall efficiency of RMHA operation. The complexity of the job covers such items as the number of units in the inventory, the age of the units, location of the units, work order procedures, emergency response, and more. (The Maintenance Plan is on display for public review)

ATTACHMENT K

COMPONENT #11

STATEMENT OF ANY HOMEOWNERSHIP PROGRAM ADMINISTERED BY ROCKY MOUNT HOUSING AUTHORITY

This statement describes steps taken by Rocky Mount Housing Authority (RMHA) to address its homeownership programs.

Over the past seven (7) years RMHA has made three (3) attempts to create additional public housing units and the third for 202 Elderly housing. None of the three applications were approved.

Within the past two years, RMHA purchased a 3 bedroom single family home under the 203 (k) program and sold it within a four month period. There has not been any attempt to acquire additional 203 (k) units because of funding and man hours to address.

In 1997 RMHA formed a non-profit 501 3 C named South Eastern North Carolina Community Development Corporation (SENCCDC). The primary goal of this corporation is to (1) create affordable housing units and (2) help residents in creating business. Currently SENCCDC has a 2 bedroom home for sale.

Several homeownership workshops have conducted by RMHA and SENCCDC over the past four (4) years.

RMHA will continue to explore every reasonable means to create ownership opportunities for Residents.

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ATTACHMENT L

COMPONENT # 12

A STATEMENT OF RMHA'S COMMUNITY SERVICE AND SELF-SUFFICIENCY PROGRAMS

In terms of Rocky Mount Housing Authority's Community Service Program, the Resident Advisory Board participated in determining how the Community Service Requirement will be implemented. Several meetings were held:

1. To explain the New Housing Act
2. To solicit input in developing a Five Year and Annual Plan.

The Resident Advisory Board recommended that RMHA identify area non-profits who will be willing to allow Residents to perform the Community Service.

Housing Management will track all Community Service Activities.

On June 10, 1997 the RMHA Board of Commissioners approved two important resolutions encourage Resident to input and support Administrative actions to develop economic development programs.

Initially RMHA had a Resident who was a commissioner for more than ten (10) years (Lucille Powell). North Carolina Legislature amended General Statutes 157 to comply with the HUD Resident Commissioner Requirement.

OTHER ECONOMIC DEVELOPMENT PROGRAMS

1. Restaurant Business (Jazzy's)

On July 5, 1999 RMHA opened a restaurant specializing in Southern food. As of August, 2001, eleven (11) of the fifteen (15) are Residents. The primary goals are:

1. To give Residents and citizens a job;
2. To enhance the employee's job skills; and
3. To generate a positive net cash to support future affordable housing programs.

2. Employing Residents (Section 3)

Over the past six (6) years, RMHA has made efforts to employ residents.

Steps are ongoing throughout FY 2001 to implement all of the above community service and self-sufficiency programs.

ATTACHMENT N

COMPONENT # 13

**STATEMENT OF RMHA'S SAFETY AND CRIME PREVENTION
MEASURES**

Rocky Mount Housing Authority has been awarded for seven straight years drug elimination funds. The funds have been used to reduce crime and drug use in all communities, thus recreating safer communities.

In terms of lease enforcement, on October 8, 1996, RMHA Board of Commissioners passed a "One Strike and You're out" Policy. In addition, RMHA has assigned police officers to work in its communities . The combination of various drug grant programs and lease enforcement has significantly reduce crime in all RMHA communities. However, without ongoing financial support, crime will go will definitely be increased.

RMHA has received numerous HUD Awards for its drug elimination programs; Computer Learning Center, Best Practices, etc.

Steps will be taken to continue and or implement drug prevention programs throughout FY 2002.

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ROCKY MOUNT HOUSING AUTHORITY
ROCKY MOUNT, NORTH CAROLINA
LARRY RUSSELL, EXECUTIVE DIRECTOR

PHA Safety and Crime Prevention Measures

5 Year and Annual Goals and Objectives

1. To Maintain Maximum Operational Effectiveness
 1. HUD Standard Form 269A (Financing) is completed by Grant Coordinator and submitted to HUD office every six months.
 2. All activities for active PHDEP will be outlined and submitted to HUD every six months by Grant Coordinator.
 3. All other reports required by HUD will be submitted timely by Grant Coordinator.
 4. Reports for all PHDEP Grants, when closed out, will be submitted timely by Grant Coordinator.
 5. A monthly report will be submitted by Community Police Officers to Grant Coordinator showing Crime Statistics, Part 1, Part 2 Crimes and Total Calls For Service.
 6. The Grant Coordinator and RMHA Staff will use this report to track crime in RMHA Communities.
 7. All programs funded by PHDEP Grants will submit a monthly report to Grant Coordinator. Changes in programs and policies will be ongoing for compliance with HUD Regulations.
 8. All requirements assigned under QHWRA were completed before October 1, 1999.
2. To increase the knowledge of Staff and Residents concerning PHDEP.
 1. The RMHA has in the past and will in the future, stress the importance of Resident involvement in the planning and preparation of all Grant Application.
 2. Residents will be surveyed as to their perception and support of the drug

elimination grant strategies proposed to the survey questionnaire, the results of which were taken into consideration in preparing all PHDEP.

3. Meetings with residents and RMHA Staff routinely communicated drug elimination ideas, strategies and areas needed improvement with Rocky Mount Police Department officials and other members of the RMHA. The strategy and concept proposed in our drug applications have been the focus of many of these meetings.
4. Resident input provided the backbone from which the all drug elimination programs were developed.
5. "Resident News", the official bi-monthly publication of the RMHA, will carry front page news articles requesting input from our residents for programs for our Drug Grants.

GOALS

Our goal is to make RMHA'S developments national models for livability for medium sized public housing agencies. In an effort to achieve this goal, we have developed a strategy that has the major components relating to prior and existing efforts, proposed activities without grant assistance and proposed activities with grant assistance.

We believe it is our mission to provide a safe, secure and supportive environment for all socially and economically disadvantaged young adults in which:

- I. There is a profound respect for the intelligence and decision making ability of young adults.
2. Resources are provided to reverse the impact and experiences that have limited the achievement of their full potential.
- III. Young adults are empowered spiritually and intellectually to make decisions and take responsibility for their lives, their families and their communities.
- IV. Young adults learn and take pride in their individual cultural background while simultaneously learning to respect and appreciate the many diverse cultures that make up our society.
5. Leadership development, education and skills training are combined to prepare young adults to take advantage of existing economic opportunities, create new ones and further their education.
6. The importance of community service, compassion for and a genuine desire to help others, is a philosophy in all young adults.

RESULTS

The focus of our programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

The following are ten (10) demonstratable quantifiable results with previous or ongoing anti-crime/anti-drug programs:

1. To give public housing children a technologically advanced, educational environment which will provide new possibilities for learning, thinking and growing.
3. To improve self-esteem, self-confidence, and self-image for each participant.
4. To break down barriers, overcome prejudices and to work together for the benefit of all residents in our community.
5. To improve discipline and respect.
6. To eliminate violent crime, drug trafficking and drug-related crime from targeted, high-crime neighborhoods.
7. To improve the performance of children in schools.
8. To expand educational and career opportunities for all participants.
9. To develop and enhance parenting and family support skills to at-risk families.
10. To teach participants that they have a choice and they are responsible for that choice.
11. To make learning more interesting and fun. "Learn by doing."

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ROCKY MOUNT HOUSING AUTHORITY
ROCKY MOUNT, NORTH CAROLINA
LARRY RUSSELL, EXECUTIVE DIRECTOR

D. Additional information as required by PHDEP Plan

PHA's eligible for FY 2002 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

X Yes No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

X Yes No: Has the PHA included the PHDEP Plan for FY 2002 in this PHA Plan?

***X Yes No: The PHDEP Plan is an Attachment.
(Attachment Filename: NC019E01)***

YOUTH ACTIVITIES

The Rocky Mount Housing Authority (RMHA) has a long history in designing programs to meet the needs of at-risk youth. Highly successful community based approaches, such as Learning Is Fun Today (LIFT), Helping Equip Little People (HELP), Tutorial Opportunity Program (TOP), Students Taking Action Not Drugs (STAND) Uniting Neighborhoods by Involving Teens and Youths (UNITY), and expanded education programs, have been credited with improving the quality of life for young people. It is upon this proven track record that the RMHA will cooperatively work with other community agencies and institutions to provide leadership and guidance to impact the lives of at-risk youth.

The focus of our youth programs is on prevention rather than treatment; specifically promoting programs that build strengths and address causes rather than symptoms. A major goal of the program is to expand effective outreach to more youth who are most vulnerable because they feel inadequate, inferior, or insecure and have no support systems.

The youth programs for FY 2002 are Golf, Tennis, Gym, Swimming, Honor Roll, Program Title S.T.A.R.S., Students Taking A Right Stand, L.I.F.T., Learning Is Fun Today, H.E.L.P., Helping Equip Little People, Girl Scout, Choral Group, Drill Team and Computer Learning Center.

Participants must be drug free and willing to sign a statement on youthful drug abuse furnished by Rocky Mount Housing Authority.

The components of the programs teach the youth: agility and carefully orchestrated teamwork: no matter which sport you play, set high standards and inspire loyalty: play by the rules and be dedicated to the highest standards and goals: never stop striving to improve, whether playing a sport or simply living.

"IT IS BETTER TO EDUCATE NOW THAN TO INCARCERATE LATER."

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Rocky Mount, NC 27801

Dear Ms. Knight:
I am pleased to advise you that I have appointed you as an alternate member to the Rocky Mount Housing Authority. This unexpired term
CITY OF ROCKY MOUNT OFFICE OF THE MA YOR
I want to take this opportunity to thank you for the developments of Rocky Mount and for the assistance rendered by you and other citizens in
By furnishing this information that will assist the Executive Director of the Rocky Mount Housing Authority, to advise you of meeting dates and

With best wishes and highest personal regards, I am Sincerely,

FET/dlj
Mayor
Larry Rustey, Chairman
Director of the Rocky Mount Housing Authority

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ATTACHMENT W

CONCLUSION

The Housing Authority of the City of Rocky Mount (RMHA) has been in existence since 1953. RMHA began using a long and short term planning system in mid-1992. Much of its achievements have been documented in the Annual Reports and HUD Reviews. However, in accordance with QHWRRA, a more formal (written) five year and annual plan has been developed. These plans will be updated as needed.

The major components of the plans is actually a management plan which realistically forecasts the fiscal needs, and describes the need for new developments and improvements to the existing buildings.

In the development of these plans, the Board of Commissioners, Department Heads and Staff considered the following:

- 1. Review of current and proposed agency problems and determined those solutions that would have the greatest positive impact over the next five years;*
- 2. Examined current regulations and how it would impact what RMHA does in the next five years; and*
- 3. Made sure the departmental plans are consistent with Residents' needs and HUD objectives.*

The goals and objectives as outlined are acceptable to all parties involved. However, Staff will monitor activities throughout the first year for possible revisions.

CHAS Table 1C - All Households

The following estimates are derived from 2002 projection data. The data project the following:

- The change in the number of households in this jurisdiction from 1990 to 2002 is estimated at -8.81%.
- The renter occupied households in 2002 is estimated at 49.25% of all occupied units. The owner occupied households in 2002 is estimated at 50.75% of all occupied units.
- The change in elderly is estimated to be +2.78% from 1990 to 2002.

Name of Jurisdiction:			Source of Data			Data is Adjusted per Community 2020 Projections for the Year:			
Rocky Mount City, NC			CHAS Data Book			2002			
Household by Type, Income, & Housing Problem			Renters			Owners			Total Households
	Elderly 1 & 2 member households	Small Related (2 to 4)	Large Related (5 or more)	All Other Households	Total Renters	Elderly	All Other Owners	Total Owners	
	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1. Very Low Income (0 to 50% MFI)	1,212	1,361	291	543	3,407	857	378	1,235	4,642
2. 0 to 30% MFI	875	811	155	287	2,128	386	216	602	2,730
6. 31 to 50% MFI	337	550	136	256	1,279	471	162	633	1,912
10. Other Low-Income (51 to 80% MFI)	303	743	229	508	1,783	573	479	1,052	2,835

14. Moderate Income (81 to 95% MFI)	69	304	87	348	808	230	396	626	1,434
18. Total Households**	1,787	3,783	926	1,993	8,489	2,841	5,907	8,748	17,237

** Includes all income groups -- including those above 95% MFI
Housing problems and cost burden data is not available for the year 2002

You can get this data by email. Please enter your email address: Then, click this button: . (The contents of the email may be added directly into Excel. Just select the email's text, then copy and paste it into a worksheet. Or, if using Internet Explorer, click the button below.)

You may also download this data as an Excel document (requires Internet Explorer). Click this button: . When prompted, select Save File to Disk and save the file to the location of your choice.